

KYC QUESTIONNAIRE FOR NATURAL PERSONS

PBZ Card is required to obtain and keep updated personal data that are collected by this Questionnaire pursuant to Law on Prevention of Money Laundering and Terrorism Financing and the related implementing regulations, EU regulations, regulations of the international community and international standards. The collected data will be used by PBZ Card for carrying out due diligence of the Natural person and fulfillment of obligations in accordance with these regulations. If PBZ Card cannot fulfill the requirements regarding due diligence, it cannot establish this business relationship, and may be obliged to terminate any existing business relationship.

DATA ON A NATURAL PERSON FOR WHOM THIS STATEMENT IS ISSUED

Name and surname: _____

Citizenship: _____ OIB/PIN: _____ Date, month and year of birth: _____

Place and country of birth: _____

Address: (street and house number, place, postal code, country): _____

Type of identification document * ☐ Personal ID ☐ Passport ID number: _____

Date of expiration of the ID: _____ Country of issuer of the identification document: _____

If the natural person has residence in Croatia, please indicate the address of the residence (street and house number, place, postal code, country). Please provide a copy of the valid permit of residence*.

POLITICALLY EXPOSED PERSON'S STATEMENT

Are you a Politically Exposed Person (PEP): ☐ YES ☐ NO

If you are a PEP, please fill the PEP Questionnaire (page 2).

Please indicate the amount of your net income (salary, pension): _____

PURPOSE OF USING THE CREDIT CARD

☐ Payment of goods and services ☐ withdraw cash ☐ other (please indicate): _____

EXPECTED MONTH TURNOVER

☐ to 650 EUR ☐ from 651 to 1.300 EUR ☐ from 1.301 to 4.000 EUR ☐ over 4.001 EUR

SOURCE OF ASSETS THAT ARE OR WILL BE THE SUBJECT OF A BUSINESS RELATIONSHIP

☐ salary, pension* (please provide evidence)

☐ other* (please provide evidence):

*Please provide documentation marked with *

By signing this Questionnaire, I certify that the information provided is true and I hereby authorize PBZ Card to verify all the information provided herein. I will personally inform PBZ Card of any changes to the information provided. In case of any change to the data above, I shall inform PBZ Card on any change within 30 days from the day of any such change. I hereby declare, under full material and criminal responsibility, that I'm not engaged in any activity that may conflict with the Constitution, laws and other regulations of the Republic of Croatia and those of the European Union, and that the Contract on Card Issuing is concluded solely for the purpose of performing activities indicated therein.

Notice on Processing of Personal Data

PBZ Card, as personal data controller in accordance with the provisions of the General Data Protection Regulation (EU) 2016/679, collects and processes personal data that are necessary for the execution of a contract and fulfilling legal obligations or pursuant to its legitimate interest. The signatory of this form hereby certifies that he/she is aware that all the information on purpose and legal basis of processing of personal data, categories of data processed, rights of data subjects in accordance with General Data Protection Regulation are available in the Information on Personal Data Processing published on www.pbzcard.hr or by sending inquiry to the address of data controller or by e-mail: zop@pbzcard.hr. In accordance with the applicable regulations, the data subject has the right to request access to, transfer, rectification, erasure and restriction of processing of its personal data at any time and to file a complaint with PBZ Card and Personal Data Protection Agency. In case the signatory provides data on third parties in this form, the signatory is held responsible for making these data available and the signatory is obliged to inform these third parties in timely manner on the content of the above given data.

Name and surname: _____

Signature _____

Place and date: _____

¹ PEP – Politically Exposed Person is any natural person who is or was in the last 12 months (or longer) carrying out an eminent public duty in an EU member state or third country, including members of his/her immediate family or close associates.

Natural persons carrying out an eminent public duty are: 1. heads of State, prime ministers, ministers and their deputies, state secretaries and assistant ministers; 2. elected members of legislative bodies; 3. members of the governing bodies of political parties; 4. judges of the supreme, constitutional or other high courts whose decisions are not subject to further appeal, except in special cases; 5. judges of courts of auditors; 6. members of the central bank councils; 7. ambassadors, chargés d'affaires and high ranking military officials; 8. Member of the board, management and/or supervisory board (as well as persons performing equivalent functions) of a commercial company that is owned or majority owned by the state or local and regional self-government units; 9. directors, deputy directors, board members and persons carrying out equal functions in international organizations, municipal mayors, city mayors, county prefects and their respective deputies elected in accordance with the law regulating local elections in the Republic of Croatia.

Family members of a Politically Exposed Person are: 1. spouse or extramarital partner of a Politically Exposed Person, 2. children of a Politically Exposed Person and their spouses or extramarital partners or 3. parents of a Politically Exposed Person.

Close associate of a Politically Exposed Person referred to in paragraph 3 of this Article is any natural person: 1. who has a joint beneficial ownership of a legal entity or a legal arrangement, or has any other close business relation with a Politically Exposed Person or 2. who has sole beneficial ownership of a legal entity or a legal arrangement which has been set up for the benefit of a Politically Exposed Person.

PEP QUESTIONNAIRE

When establishing a business relationship or conducting transactions, PBZ Card shall apply a procedure that determines whether the customer is a politically exposed person (PEP) in accordance with the obligation to conduct in-depth analysis prescribed by the Law on Prevention of Money Laundering and Financing of Terrorism.

Politically Exposed Person is any natural person who is or was in the previous 12 months carrying out an eminent public duty in a member state or a third country, including their immediate family members* or persons known to be close associates of a politically exposed person**:

***Immediate family members shall include:**

1. the spouse or common law partner of a politically exposed person or the person living in a registered or informal life partnership with a politically exposed person
2. the children of a politically exposed person and their spouses or common law partners or the persons living in a registered or informal life partnership with the children of a politically exposed person
3. parents of a politically exposed person.

****Close associate shall be any natural person:**

1. who is known to have joint beneficial ownership of a legal person or a legal arrangement or any other close business relations with a politically exposed person or
2. who has sole beneficial ownership of a legal person or a legal arrangement, which are known to have been set up for the benefit of a politically exposed person.

With regard to conducting a due diligence of the client and acting in compliance with the object and purpose of the Money Laundering and Terrorism Financing Prevention Act, please select one of the offered answers:

Do you hold (or have carried out in the previous 12 months) a prominent public office (duty) in your country of permanent residence / usual residence, or are you a member of the immediate family or close associate of a person holding a prominent public office?

- ☐ 1. I DO NOT HOLD / I AM NOT
- ☐ 2. I HOLD A PUBLIC OFFICE
- ☐ 3. I AM A MEMBER OF THE FAMILY OF THE PERSON HOLDING A PUBLIC OFFICE
- ☐ 4. I AM A CLOSE ASSOCIATE OF THE PERSON HOLDING A PROMINENT PUBLIC OFFICE

If you selected any/some of the answers under numbers 2 - 4, please indicate which public duty is carried out / public office is in question held:

- ☐ State presidents of countries, prime ministers, ministers and their deputies and state secretaries and assistant ministers
- ☐ Elected members of legislative bodies
- ☐ Members of the governing bodies of political parties
- ☐ Municipality prefects, mayors, county prefects and their deputies elected on the basis of the law regulating local elections in the Republic of Croatia
- ☐ Judges of supreme or constitutional courts or courts of auditors, or other high-ranking judicial officials against whose decisions, save for exceptional cases, legal remedies may not be applied
- ☐ Members of central bank councils
- ☐ Ambassadors, chargés d'affaires and high-ranking officers of armed forces
- ☐ Member of the board, management and/or supervisory board (as well as persons performing equivalent functions) of a commercial company that is owned or majority owned by the state or local and regional self-government units.
- ☐ Directors, deputy directors and members of the board, as well as persons carrying out equivalent function in international organisations.

If you selected answer 3. I AM MEMBER OF THE FAMILY OF THE PERSON HOLDING A PUBLIC OFFICE or 4. I AM AN CLOSE ASSOCIATE OF THE PERSON HOLDING A PROMINENT PUBLIC OFFICE to the question: "Do you hold (or have carried out in the previous 12 months) a prominent public office (duty) in your country of permanent residence / usual residence, please specify:

a) name and surname of a person holding a prominent public office _____

b) in what capacity as a member of the immediate family are you / in what way was your close association with that person achieved? _____

If you are a politically exposed person (also if you are a politically exposed person and the beneficial owner of a legal entity) please specify:

a) source(s) of funds that are or will be included in the business relationship and/or transactions of the relevant business entity:

- ☐ Salary and Earnings ☐ Savings ☐ Sales of financial assets
- ☐ Inheritances and gifts ☐ Receipts from sale/investment in real estate/movable property
- ☐ Income from capital or insurance ☐ Indemnity/Compensation
- ☐ Other _____

b) the source(s) of your assets (it is necessary to mark all the answers that apply to you, and at least one):

- ☐ Income from non-self-employment ☐ Income based on a deed contract ☐ Income base on rent
☐ Inheritances and gifts ☐ Receipts from sale/investment in real estate/movables ☐ Income from capital or insurance
☐ Assets acquired through credit ☐ Assets acquired through savings ☐ I have no property
☐ Income of a member of the Council's, Management Board, assemblies, supervisory boards ☐ Self-employment income
☐ Winnings from lottery games ☐ Income from spouse/partner ☐ Divorce settlements
☐ Other: _____

Please state the estimated value of your assets in EUR (value that you personally estimate):

Thank you for your understanding!

PERSONAL DATA PROTECTION

Personal data protection: PBZ CARD d.o.o., Radnička cesta 44, Zagreb, TIN (OIB) 28495895537, entered in the register of the Commercial Court in Zagreb under the company registration number (MBS) 080258649, as a data controller, operates in accordance with the principles of the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation). Contact details of the data protection officer: zop@pbzcard.hr. When clients' personal data are collected, whether from the client at the time of collection or from another source PBZ Card shall provide information in accordance with Articles 13 and 14 of the General Data Protection Regulation, such as, for instance, information about PBZ Card as the controller, the purposes and the legal basis of personal data processing, the categories of personal data which are collected, the storage period, the recipients of data, the source of data, as well as the rights related to personal data protection (for instance, the right of access to personal data, the right to erasure, the right to object, etc.).

We shall process and use your personal data as well as personal data of other natural persons (e.g. authorised representatives, authorised signatories, contact persons, etc.) provided for the purpose of execution and performance in a lawful, fair and transparent manner, while protecting personal data from unauthorized or unlawful processing and implementing the highest-level technical, security and organisational protection measures.

By signing this Document, I confirm to have been informed/advised that the data provided in this Document are necessary for PBZ Card, acting as the data controller, in order to honour its legal obligations, pursuant to Article 6, paragraph 1, item c) of the General Data Protection Regulation.

PERSONAL SIGNATURE CERTIFYING DATA ACCURACY AND TRUTHFULNESS

First and last name of the person to whom the data refer: _____

Place, date _____

Signature: _____